

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, DC 20549

FORM 8-K

CURRENT REPORT  
Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): April 21, 2026

**SmartKem, Inc.**

(Exact name of registrant as specified in its charter)

**Delaware**  
(State or other jurisdiction  
of incorporation)

**001-42115**  
(Commission  
File Number)

**85-1083654**  
(IRS Employer  
Identification No.)

**Manchester Technology Center, Hexagon Tower  
Delaunays Road, Blackley  
Manchester, M9 8GQ U.K.**  
(Address of principal executive offices, including zip code)

**011-44-161-721-1514**  
(Registrant's telephone number, including area code)

**N/A**  
(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Securities registered pursuant to Section 12(b) of the Act:

Title of each class	Trading Symbol(s)	Name of exchange on which registered
Common Stock, par value \$0.0001 per share	SMTK	The Nasdaq Stock Market LLC

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b - 2 of the Securities Exchange Act of 1934 (§240.12b - 2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

**Item 3.01. Other Events.**

On April 21, 2026, SmartKem Inc. (the "Company") was formally notified that the Nasdaq Hearings Panel (the "Panel") determined that the Company has regained compliance with the stockholders' equity requirement set forth in Nasdaq Listing Rule 5550(b)(2) and has otherwise evidenced compliance with all other applicable criteria for continued listing on The Nasdaq Capital Market. The Company remains subject to a grace period, through September 1, 2026, to regain compliance with the bid price requirement set forth in Nasdaq Listing Rule 5550(a)(2).

The Company was also notified that it will remain subject to a one-year Discretionary Panel Monitor pursuant to Nasdaq Listing Rule 5815(d)(4)(A). If the Company fails to comply with any of the applicable continued listing criteria during the one-year period the Company would not be permitted to submit a compliance plan nor would it be afforded an otherwise applicable grace period to regain compliance. Rather, the Nasdaq Listing Qualifications Staff would issue a delist determination which the Company could then appeal by requesting a hearing before a Panel. Such request would generally serve to stay any further suspension or delisting action pending the conclusion of the hearing process.

**Item 9.01. Financial Statements and Exhibits.**

(d) Exhibits.

Exhibits	Description
104	Cover Page Interactive Data File (Embedded within the Inline XBRL document)

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**Signature**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**SMARTKEM, INC.**

Dated: April 23, 2026

By: /s/ Barbra C. Keck  
Barbra C. Keck  
Chief Financial Officer

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